Docket No. 207187US2

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Sakae ISHIKAWA, et al.

SERIAL NO: 09/848,764 GAU: 3653

FILED: May 4, 2001 EXAMINER: Michael E. BUTLER

FOR: METHOD OF AND SYSTEM FOR MANAGING RACK OPERATION, METHOD OF AND SYSTEM FOR

MANAGING MULTISTAGE RACK, ARTICLE CONVEYANCE AND STORAGE DEVICE, AND COMPUTER

**PRODUCT** 

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

The applicant(s) wish to make of record the reference(s) listed on the attached Japanese Office Action and the attached form PTO-1449. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language reference(s).

Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

# RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAJER & NEUSTADT, P.C.

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Registration No. 45,330

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE				ATTY DOCKET NO.		SERIAL NO.		
(Modified) PATENT AND TRADEMARK OF			EMARK OFFICE	207187US2		09/848,764		
				APPLICANT				
LIST OF	REFER	RENCES CITED BY API	PLICANT	Sakae ISHIKAWA, et al.				
				FILING DATE		GROUP		
				May 4, 2001		3653		
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME CLASS		SUB FILING DATE CLASS IF APPROPRIATE		
	AA							
	AB							
	AC							
	AD	,						
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	AM							
	AN							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO		
<u> </u>	AO	10-081332	03-31-1998	Japan (with English abstract)				×
	AP	06-107309	04-19-1994	Japan (with English abstract)				×
ke.	AO	11-120250	04-30-1999	Japan (with English abstract)				x
	AR	10-222568	08-21-1988	Japan (with English abstract)				x
	AS	60-177028	11-25-1985	Japan (with partial translation)				
	AT	01-147943	10-13-1989	Japan (with partial translation)				
	AU	07-26700	05-19-1995	Japan (with partial translation)				
	AV	11-165735	06-22-1999	Japan (with English abstract)				×
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
	AW							
	AX							
	AY							
	AZ				Additional References sheet(s) attached			
Examiner					Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
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